



# Example of Senior Analyst Regulatory Job Description

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Our company is looking for a senior analyst regulatory. We appreciate you taking the time to review the list of qualifications and to apply for the position. If you don't fill all of the qualifications, you may still be considered depending on your level of experience.

## Responsibilities for senior analyst regulatory

- Work closely with front end technology team to implement complex calculation and reporting rules around portfolio exposures and risks metrics and assist in improving data governance infrastructure
- Prioritizing compliance with the recently issued Federal Reserve ruling requiring the CFO to make an attestation regarding the collection and preparation of the FR Y-14 A/Q/M reports and related internal controls
- Define system enhancements required due to process or policy changes
- Partner with external vendors and data providers to monitor data quality and timeliness
- Work with the team members to develop team and personal goals
- Perform daily OATS regulatory reporting functions
- Ensure timeliness, accuracy, and completeness of OATS regulatory reporting
- Participate in exception review working group meetings across businesses, Compliance, Operations, Legal & Technology stakeholders
- Make actionable recommendations for break reduction
- Partner with Regulatory Operations Business Analysis and Control Teams to develop and modify controls as needed, test changes to controls, assist with implementation of new regulatory requirements, and document policies and procedures

## Qualifications for senior analyst regulatory

- Good understanding of technology and keen interest in how technology should be utilized to create value in asset management
- At least 0-3 years of investment management and financial markets experience, including portfolio management and trading
- 10 years of relevant experience in a Financial Institution for Basel reporting
- Good understanding of core banking and treasury products and data requirement for Basel reporting