



# Example of Regulatory Risk Analyst Job Description

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Our company is looking to fill the role of regulatory risk analyst. If you are looking for an exciting place to work, please take a look at the list of qualifications below.

## Responsibilities for regulatory risk analyst

- Ownership of requirements gathering into the Product Backlog, and ensuring build is completed within the project milestone timelines
- Direct, daily interaction with reporting SME's and stakeholders to manage the information flow and delivery of key project milestones
- Learn and understand the banks Control framework and Operating Model to be able to deliver complete and accurate transaction reporting to regulators
- Coordinate the compilation, and in some cases the submission, of regulatory reports within Securities Processing to internal central reporting teams and regulating agencies
- Working with individual lines of business to conduct the internal and external validations of data consumed by regulatory reports
- Active participation in monthly, quarterly and annual reviews
- Utilizing various technology systems for the compilation of data used for reporting and constantly driving the change agenda for further automation
- Evaluation of control processes, standard operating procedures (SOPs) and working supervisory procedures (WSPs)
- An understanding of Trading Lifecycle, Banking Regulatory Environment and relevant product
- A proven performance and control record

## Qualifications for regulatory risk analyst

- Market Risk, Valuation or Product Control related experience preferred
- Comfortable with handling large datasets

- Degree from an accredited college or university with a concentration in Business, Economics, or Finance
- Degree in other disciplines such as Engineering or Computer Science acceptable provided the candidate has 3+ years of experience in Financial sector