



Example of Regulatory Reporting Associate Job Description

Powered by www.VelvetJobs.com

Our company is looking to fill the role of regulatory reporting associate. Thank you in advance for taking a look at the list of responsibilities and qualifications. We look forward to reviewing your resume.

Responsibilities for regulatory reporting associate

- End-to-end FDIC Modernization reconciliation on a quarterly basis
- Analyzing new products and specific transactions for financial regulatory control adherence and capital impact
- Providing interpretive guidance on financial regulatory matters to business units within the firm
- Assisting in managing regulatory capital and risk weighted asset limits
- Assisting in the development of the business architecture required to support the firm's future Intermediate Holding Company's (IHC) regulatory reporting requirements
- Regulatory compliance with relevant regulations and providing detailed analysis of the data contained within the filings trend comparisons from period-to-period
- Assisting in the development of reconciliation framework between the IHC ledgers and regulatory reports FR Y-9C
- Assisting in the data aggregation across various infrastructure areas within the IHC
- Preparation of exception based issue reporting related follow-up with issue owners
- Project management and business analysis tasks, primarily focusing on transaction reporting, which would involve running

Qualifications for regulatory reporting associate

- In-depth knowledge of Microsoft Excel and VBA
- Data manipulation in MS Excel, MS Access and advanced freehand SQL scripting skills (such as identifying sets/subsets of data across multiple joins/unions of tables)
- Experience of SIT and UAT of complex, low-latency trading environment
- Experience of working with geographically dispersed technology and operations teams
- Working knowledge of XML and VB Script preferred