



# Example of Regulatory Controller Job Description

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Our company is searching for experienced candidates for the position of regulatory controller. Please review the list of responsibilities and qualifications. While this is our ideal list, we will consider candidates that do not necessarily have all of the qualifications, but have sufficient experience and talent.

## Responsibilities for regulatory controller

- Prepare and present due diligence decks as required for attestation sign off
- Lead IT changes (Axiom/ Vena) required to conform with new rules  
Instructions from FRB for Y9C, 101, 102
- Ensure that MS Rule interpretations are formally documented in Axiom/Vena actuals for 14A/Q/M
- Oversight and coordination of UK Pillar 3 preparation, review and disclosure
- Consolidation and submission of quarterly COREP reporting across the EMEA businesses
- Support the evolving regulatory reporting requirements of additional BREXIT entities
- Ensure compliance with evolving regulatory reporting requirements
- Work closely with Calculation and Evolving Regulation and Integration teams to embed new strategic regulatory calculator and ensure complete and accurate numbers and supporting business variance analysis flow through to final reporting
- Ensure appropriate regulatory MI is provided to senior management to support ongoing capital and leverage adequacy monitoring
- Develop key relationships across Corporate Treasury, Risk, Reg Relations, Senior Finance and BU across EMEA

## Qualifications for regulatory controller

- Develop a good understanding of the rules and regulations across the various reports and provide technical support to key stakeholders as required
- Provide cross coverage as appropriate for Glasgow Reg Controller team
- Ensure all daily and monthly reporting requirements are met, for both internal and external reporting
- Oversight and management of aspects of the team, offering strong support to the team lead
- Ensure ongoing development of the junior members in the team