



Example of Regulatory Compliance Analyst Job Description

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Our innovative and growing company is looking for a regulatory compliance analyst. Thank you in advance for taking a look at the list of responsibilities and qualifications. We look forward to reviewing your resume.

Responsibilities for regulatory compliance analyst

- Partner directly with other company departments and with all levels of management on regulatory and FERC compliance matters, including key developments in federal regulatory policies that directly or indirectly impact the Regulated Entities
- Assist in the presentation of findings and help make recommendations to functional management, directors, officers and their employees
- Assist in the development of tools and templates for LOBs to assist in their compliance program activities
- Assist various compliance initiatives, as appropriate
- Troubleshoot and resolve technical issues with any required regulatory responses
- Respond to rate cases by providing residential collections related information and prepare responses to data requests
- Obtain and perform all required elements of due diligence
- Assists with determining which systems and/or business processes will be impacted and require revision
- Identify and measure compliance-related risks
- Analyze data to identify trends and uncover gaps, issues, compliance and regulatory risks

Qualifications for regulatory compliance analyst

experience

- Strong analytical skills, exercises good judgment, and ability to assess risk and suggest control enhancements
- Attention to detail and strong organizational and project management skills
- Broad knowledge of banking with a good understanding of banking regulatory frame work, compliance will be an advantage
- Focused, organised, results-oriented and works independently to strict timeframes
- Graduate, preferably with a Legal degree or Finance related