

Example of Regulatory Business Analyst Job Description

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Our company is growing rapidly and is hiring for a regulatory business analyst. To join our growing team, please review the list of responsibilities and qualifications.

Responsibilities for regulatory business analyst

- Analyze existing solutions implemented in MS SQL Server
- Co-ordinate investigations and responses to auditors and regulators
- Prepare ad-hoc reports and data-extracts utilizing multiple data-sources
- Partner with business and technical teams to drive consensus on the best solution to business problems and the delivery of new solutions and enhancements to global TrackWise system
- Experience writing software validation documents and understanding the software validation process
- Ensure clear communication between business stakeholders and IT technical teams
- Specifically engage in and prepare documentation for hand over to BAU support team
- Liaise with internal teams to ensure data standard alignment
- Be aware of the software vendors roadmap, development timelines and software versioning which may drive the needs for upgrades or business change projects to ensure that these are proactively managed and built into RB's demand planning process
- Engage with business and prepare documentation to manage small change requests

Qualifications for regulatory business analyst

• Experience creating complex programming and reporting solutions with

- Knowledge of Conflict of Interest, Client Classification
- Knowledge of client classification-based regulations including EMIR, Dodd Frank, MiFID, Mandatory Clearing
- Ability to work alone with minimal supervision and successfully deliver solutions to our business partners is important
- Ability to keep the business interests in mind