Our company is looking for an operations risk control. We appreciate you taking the time to review the list of qualifications and to apply for the position. If you don't fill all of the qualifications, you may still be considered depending on your level of experience.

Responsibilities for operations risk control

- Provide support to business partners for internal audits and compliance reviews, including tracking, planning, pre-audit review coordination, and interfacing with examiners
- Own efforts related to ongoing or ad hoc control initiatives
- Oversee the deep dives around the account opening processes to determine the reporting requirements gaps
- Accountable for supporting the development of an overall build plan and will own direct engagement with Technology partners
- Manage the standardization effort around the different reporting teams across the regions
- Design tactical reports while building the pipeline for strategic reporting enhancements
- Partner with the broader Client Onboarding MIS team in developing a holistic MIS package covering both KYC and Account Opening processes
- Accountable for meeting all OFAC standards across a minimum of three sites
- Attract and develop talent
- Partner with CAO Ops stakeholders, Oversight and Controls, and Firm subject matter experts

Qualifications for operations risk control

- Experience of working in a vendor setup will be added advantage however

- Investigate and resolve the exceptions to identify the correct position and balance of Client Asset held by MSMS
- Understand the requirement on regulatory reports on Client Asset, prepare the report and submit in time
- Create or update the procedure manuals
- Escalate/report and mitigate risks associated with Client Asset Protection process
- Set the Governance on Japan Client Asset Protection, and ensure the procedures owned by all teams are in compliant with the Japan regulation Firm's policy and procedure