



# Example of Operations Risk Control Job Description

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Our innovative and growing company is hiring for an operations risk control. If you are looking for an exciting place to work, please take a look at the list of qualifications below.

## Responsibilities for operations risk control

- Communicate with business partners and management regarding progress and recommendations/decisions
  - Coordinate the periodic Risk and Control Self Assessments (RCSA) testing performed in the businesses and assist with documentation, defining appropriate testing methodologies, and approvals
  - Evaluate the accuracy and completeness of the RCSA and liaise with Oversight and Control to ensure changes to the control environment are implemented timely
  - Input and maintain RCSA information in Phoenix
  - Monitor issues and action plans to ensure sufficient execution by the business
  - Assist the business with preparation, monitoring and reporting for Audit, Compliance and Regulatory examinations
  - Provide oversight and consultation to the business on potential issues that arise with operations management, risk, compliance and products as necessary
  - Interface with various internal partners including Operational Risk, Credit Risk, Audit, Controllers, Product Risk and Controls, Compliance and Legal as necessary
  - Internal and External Authorized Approvers – Maintain Internal and External Authorized Approver Lists on behalf of Americas Operations, in compliance with the Funds/Asset Transfer Policy
  - As necessary, assist with execution of required Sarbanes-Oxley testing
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- Treasury Service Cash product knowledge, location and language (including Chinese) expertise a plus
- Flexible with overtime as needed, hours may change depending on business needs
- Strong analytical skills, ability to extract key information and pre-empt management questions on the data presented
- Inclusive of Change Control - new metrics, organization changes, deletion of metrics
- Central Point to liaise with RAM team Developers
- Series 99 license required within 120 days of hire