Example of Investment Advisor Job Description

Our company is growing rapidly and is hiring for an investment advisor. If you are looking for an exciting place to work, please take a look at the list of qualifications below.

Responsibilities for investment advisor

- Advise on appropriate budget level to support strategy in line with Community Investment vision
- Develop activation plans, including business cases to demonstrate the strategic value of partnerships
- Develop and execute partnerships in collaboration with Community Relations and Communications Advisors
- Leverage, track and report on success of partnerships
- Assess existing partnerships and advise on further leveraging opportunities and strategic value
- Investment advisors will have significant interaction with key stakeholders from across the business including banker teams, Investment Solutions product areas, wealth advisory and investment services, to name a few
- Within the context of their local team and across Investment Solutions, an investment advisor is expected to contribute to idea generation, product development and the ongoing enhancement of the investment proposition
- The IA will deliver wide ranging investment content as a thought leader at banker team meetings, larger business investment briefings and external conferences/presentations where required
- Strong consultative, negotiation and problem solving skills to identify and address client needs
- Responsible for supporting investment projects for IC or board approval and validating business cases

- Ensures proper administration of Client accounts and coordination of services in compliance with Company policies and applicable laws and regulations
- Ensures all new relationships are following proper account acceptance guidelines
- Ensures only approved products and services are sold to HNW clients in conjunction with Regulatory, Firm and division policies
- Responsible for fostering and contributing to a positive and constructive work environment with a focus on supporting the overall Wealth team
- 2 years Financial Industry Experience or recent college graduate with appropriate business/finance degree
- FINRA Series 65 registration will be required within 90 days of hire