



Example of Internal Audit VP Job Description

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Our company is hiring for an internal audit VP. If you are looking for an exciting place to work, please take a look at the list of qualifications below.

Responsibilities for internal audit VP

- Working with other teams to challenge, analyze and enhance the reporting on the output from the risk assessments
- Manage ongoing initiatives to enhance the operational risk assessment program
- Leading and participating in audit assignments for the bank's private banking and wealth management business
- Supporting audit related matters with all areas of Private Banking, regulators and external auditors
- Performing audit risk assessments and adopting an anticipatory approach on emerging risks
- Participating in Global Audit Strategy and Planning
- Draft and prepare audit reports for final clearance and delivery with senior Internal Audit management
- Participate in assessment of risk and preparation of quarterly business reviews (QBR) presentations
- Participate in QA of self assessments covering policies and procedures framework for credit risk against regulatory provisions
- Testing adequacy and effectiveness of Real Estate's (RE's) Compliance Policies and Procedures

Qualifications for internal audit VP

- Documenting of test procedures
- Assessing key business processes and critical business risk and presenting

- Driving the testing and implementation of compliance technology systems, including investment guideline monitoring and allocation monitoring
- Assisting in updating RE Compliance Policies and Procedures Manual as needed
- Collaborating with members of the compliance team to ensure that compliance documents including the RE Registered Investment Adviser Policies and Procedures Manual, RE Form ADV Parts 1 and 2, and Compliance Audit Program are current