



Example of Compliance VP Job Description

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Our company is growing rapidly and is hiring for a compliance VP. Thank you in advance for taking a look at the list of responsibilities and qualifications. We look forward to reviewing your resume.

Responsibilities for compliance VP

- Providing support to MHE Government Affairs in identifying government policy priorities
- Coordinating with relevant business units and support functions to implement internal controls to ensure compliance with policies
- Working with the internal audit department to establish compliance audit plans
- Overseeing and coordinating annual risk assessment process
- Chair Ethics, Risk and Policy committee
- Maintain good contact with regulators
- Advising all areas of the business on regulatory requirements
- Attend Dodd Frank Project Management work stream meetings to monitor issues raised and coordinate ACG response
- Assist with the development of trainings and presentations to educate FID business employees and Shared Services personnel on the Dodd Frank changes to processes and business conduct
- Assist with the development of various monitoring processes to reasonably achieve compliance with the new Dodd Frank and other regulatory requirements

Qualifications for compliance VP

- Maintaining up to date knowledge of regulatory environment, specifically in respect of the UK and Luxembourg UCITS requirements AIFMD

- Strong products/market knowledges of equities and/or listed derivatives
- Strong awareness of control and governance
- Excellent communication in both English and Japanese