Our company is growing rapidly and is hiring for a compliance VP. Please review the list of responsibilities and qualifications. While this is our ideal list, we will consider candidates that do not necessarily have all of the qualifications, but have sufficient experience and talent.

Responsibilities for compliance VP

- Monitoring the completion of daily workflow and other task assigned to E-Communication Surveillance review team in Salt Lake City
- Enhance and update the existing training and implement an annual PAD and Registrations training program for Compliance and Operations staff in EMEA
- Improve / enhance communications from the PAD and Registrations group to the EMEA employee population
- Preparing management and governance reports as required presenting Compliance training
- A tertiary qualification in Law, Commerce or a related discipline preferred
- A minimum eight years compliance, regulatory, legal or risk management experience gained within a financial institution or regulator
- Experience with banking products or other relevant wholesale financial services products
- Knowledge or experience of Corporations Act, Banking Act and APRA Prudential Standards and their practical application in operational process or product features
- Provide support to regulatory projects in relation to FICC business in the region
- Provide Compliance Advice to the FICC Business in Hong Kong and other jurisdictions in the Region

Qualifications for compliance VP

- Possess the ability to determine if new laws and regulations or changes to existing laws and regulations impact the Core Cash high risk products
- Strong speaking and writing abilities in both Japanese and English
- Develop expertise in JPMC products, services and clients
- Proven record to lead a strong team in a fast-paced, deadline-driven, diverse environment
- Organized, detail-oriented, enthusiastic and professional with a strong work ethic
- 8 - 15 years of compliance experience in the financial services industry, an SRO or in Internal Audit with exposure to Institutional businesses and/or testing