



Example of Compliance Senior Analyst Job Description

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Our innovative and growing company is looking to fill the role of compliance senior analyst. If you are looking for an exciting place to work, please take a look at the list of qualifications below.

Responsibilities for compliance senior analyst

- Utilize the regional entity compliance portals for submittal of self-reports, mitigation plans, self-certifications, monthly and quarterly reporting
- Develop, update, publish, and maintain compliance program processes, procedures, and documents
- Leverage methods and tools to monitor, track and assess overall compliance with ongoing IT requirements
- Track and resolve IT control questions and considerations raised by the business
- Represent department's interests on cross functional teams, working with other departments to facilitate knowledge sharing and implementation of process changes and improvements
- Plan and coordinate multiple projects, and continuously improve and update audit procedures
- Identify process improvements and cost saving strategies around compliance processes and procedures
- Continually review and evaluate system controls and transaction processes, communicating to upper management on a regular basis
- Provide the Business with day to day AML Advisory support on compliance related matters including the review of escalations
- Periodically conduct in-depth, enhanced analysis of prospective and current WUBS Clients and issues that are large, complex and/or high-risk in nature, and provide recommendations to leadership on how to proceed in

Qualifications for compliance senior analyst

- The candidate should have of the following certifications - CRISC, CISSP, CISA, CPA, ISA/PCIP, CISM
- Local travel between Skillman, NJ, Raritan NJ, Titusville, NJ and Fort Washington, PA sites
- CPA, CIA or CISA a plus
- 3-5 years of data analysis, consulting, audit or compliance experience, public accounting or large corporate internal audit preferred
- Strong information gathering skills
- Associate's Degree or at least one preferred certification