



Example of Compliance Head Job Description

Powered by www.VelvetJobs.com

Our company is hiring for a compliance head. If you are looking for an exciting place to work, please take a look at the list of qualifications below.

Responsibilities for compliance head

- Support business development for regulatory compliance
- Act as the Whistleblower Reporting Officer for HK Branch and assist management in implementing the Whistleblower procedure
- Being aware of all applicable local compliance rules and regulations industry best practice
- This role will provide advice and guidance to the management and staff on all matters pertaining to compliance, regulatory and ethical matters
- Last but not least, you will also develop policies and procedures which comply with both internal and external regulatory requirements, and conduct compliance training across various stakeholders within the organization as well
- Leading the overall Compliance program including frameworks, policies, governance and reporting
- Developing and executing communication, training and other strategies to drive an improved Compliance culture
- Developing and delivering a strategy for preparing and executing on regulatory change and working closely with legal
- Oversight of all regulatory interactions and change including program management of regulatory visits and oversight of regulatory issues
- Develop and manage the strategy to facilitate compliance with the Australian Credit Licenses held and the annual certification process

Qualifications for compliance head

- Updating the Compliance Tracker tool and, where necessary, escalating issues
- Excellent report/metrics skills
- Previous experience leading a Risk and Compliance function - ideally within a Financial Services environment
- Ideally will have previous experience as a Head of Compliance
- The flexibility to appreciate the commercial objectives of the business, coupled with an ability to challenge, independently and constructively, senior management, peers or other staff where appropriate, to insist upon adherence to the highest standards of business practice and ethical behaviour and to the requirements of relevant authorities