



Example of Compliance Head Job Description

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Our company is looking for a compliance head. We appreciate you taking the time to review the list of qualifications and to apply for the position. If you don't fill all of the qualifications, you may still be considered depending on your level of experience.

Responsibilities for compliance head

- Provide central corporate level point of contact and coordination relating to AML issues
- Coordinate AML specific internal and external audits and ensure internal and external audit issues are resolved in a timely manner
- Ensure AML Compliance oversight process are aligned with business strategies, operations and processes and that identified gaps or weaknesses are escalated to senior management
- Act as subject matter expert on AML, ATF, Economic Sanctions and Office of Foreign Assets Control, Office of the Superintendent of Financial Institutions issues as they related to covered businesses and ensure that businesses understand requirements and corresponding impact on their business
- Manage the implementation of new AML regulatory requirements across the Caribbean region
- Ensure that AML Programs comply with the Enterprise Regulatory Compliance Management Framework
- You will draft, review and implement anti-money laundering (AML) policies and procedures to ensure all round compliance
- Besides, one of the key responsibilities is to safeguard the organization's interest by acting as an advisor on any potentially suspicious AML transactions and ensure this is promptly reported to the relevant authorities
- Be responsible for developing a risk based methodology for each of the compliance assurance plans

Qualifications for compliance head

- Proven technical expertise in the field of Financial Crime and, specifically, AB&C
- 15 plus years experience working in the financial industry, either as a regulator or in-house compliance officer
- 8 to 10 years experience specifically working on Fair Lending issues
- Excellent knowledge of Fair Lending laws and regulations
- 8 to 10 years experience as a manager
- Willing to travel within U.S. to manage team dispersed in U.S