



Example of Compliance Director Job Description

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Our company is searching for experienced candidates for the position of compliance director. If you are looking for an exciting place to work, please take a look at the list of qualifications below.

Responsibilities for compliance director

- Effectively managing the Corrective Action process and policy to ensure timely closure of gaps across business units, particularly issues that may be relevant to co-brand partnerships
- Liaising regularly with other control stakeholders, including Independent Compliance Testing (ICT), the Internal Audit Group (IAG), and other business control functions
- Driving implementation of policy and regulatory requirements in the areas of UDAAP, general credit card practices, general banking, and other relevant federal, state and local regulations
- Working closely with Global Risk and Compliance colleagues throughout the organization to drive compliant execution of business objectives, and will also partner closely with colleagues in the General Counsel's Office
- Collaborate with law enforcement and testify in court when necessary
- Conducts periodic internal reviews, monitoring and auditing in addition to those conducted by Acorda departments to ensure that compliance policies and procedures are followed, including, but not limited to, live field monitoring of company events and activities of field employees
- Works with Vice President of Compliance, Legal, and HR in conducting or directing the internal investigation of compliance issues to include investigation, follow-up, and resolution activities and solutions
- Assesses product, compliance, and/or operational risks and helps develop risk management strategies
- Assists in the administration of the Corporate Governance Hotline

standard operating procedures

Qualifications for compliance director

- To contribute to the formulation and implementation of the global team's strategy and objectives for the delivery of high quality and cost effective compliance training globally and particularly across the APAC Region
- Attendance at Scheme and Industry related training and development courses of relevance
- Identify and analyse emerging Compliance risks and trends
- Represent Compliance at the regular board and committee meetings of UK legal entities as required
- Some level of industry knowledge and expertise and experience with consumer regulatory requirements related to financial services including RESPA, TILA, FDCPA, ECOA and state and federal consumer protection laws
- Ability to manage up to 7 people and willingness to work as a team player, with comfort interacting with associates across departments and job levels