



Example of CIB Compliance Job Description

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Our company is looking for a CIB compliance. Please review the list of responsibilities and qualifications. While this is our ideal list, we will consider candidates that do not necessarily have all of the qualifications, but have sufficient experience and talent.

Responsibilities for CIB compliance

- Strong people management experience (121s, development of team members)
 - Performing analysis on transactions to identify unusual activity and conducting research/ investigation to form recommendations on degree of suspicion in accordance to firm's global investigations standards
 - Presenting cases/ issues found to local MLROs in the region
 - Dealing on a timely basis with enquiries from Regulators, Law Enforcement Bodies and internally from the business
 - Assisting in the implementation of global policies and procedure relating to investigations in Asia
 - Assisting in the implementation of AML related projects, including handling UAT for the firm's case management system
 - Taking on team tasks to ensure the smooth operation of the Regional AML Investigations team
 - Work with the relevant businesses and functions to obtain information / documentation to respond to regulatory requests
 - Prepare substantive written responses to regulatory requests and examination reports
 - Communicate with the relevant businesses and functions regarding regulatory issues, findings by the regulators, and remediation related to those findings
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- Experienced in supporting or working in fast paced environment
- Minimum of 8 years experience, of which 2 years experience with USA PATRIOT Act, Bank Secrecy Act, AML regulations issued by U.S. securities, commodities and futures regulators
- 3 years AML experience with a large financial institution
- Knowledge and understanding of compliance practices and methodologies, including risk assessment, monitoring/surveillance, testing activities and project management
- Knowledge of Corporate & Investment Banking products and services
- Excellent written and oral communication skills and ability to work within a global team