



Example of CIB Compliance Job Description

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Our growing company is looking for a CIB compliance. Please review the list of responsibilities and qualifications. While this is our ideal list, we will consider candidates that do not necessarily have all of the qualifications, but have sufficient experience and talent.

Responsibilities for CIB compliance

- Subject matter expertise, central point for Product Control and other group ad-hic queries
- Covered Funds activity review
- Support to new and in-flight initiatives to enhance the control environment and reporting accuracy to close existing gaps and improve efficiency
- Execute on key GFCC Compliance initiatives within the context of the firm's global CIB compliance mandate
- Coordinate with regional teams on related CIB GFCC issues
- Collaborate with global team to collectively design a compliance practices program specifically related to GFCC matters
- Provide guidance to senior compliance, business and functional management in addressing complex TMI surveillance issues
- Responsible for delivering the 2017 CIB adoption scope of 22 instrument and 2 pricing adoptions across CIB
- Partner with the internal team, and wider technology partners to ensure priority and focus on the 2017 agenda to achieve the Level 1 milestones
- Project reporting in OPTIC, but also to the CIB Governance meeting

Qualifications for CIB compliance

- Visit each office in the region once a year (or more as necessary), and conduct compliance testing on up to 14 compliance themes (local laws and

- General compliance knowledge pertaining to the local regulations of offices in the Americas region is preferable
- Capable to successfully execute across multiple and competing workstreams
- Extensive experience in financial services, min 3 years in a Product/Business environment with strong Project management capabilities
- Strong influential communication skills - both verbal and written