



Example of CIB Compliance Job Description

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Our innovative and growing company is searching for experienced candidates for the position of CIB compliance. We appreciate you taking the time to review the list of qualifications and to apply for the position. If you don't fill all of the qualifications, you may still be considered depending on your level of experience.

Responsibilities for CIB compliance

- Other Volcker related tasks as may be required
- Assist in ensuring the bank's compliance with Dodd Frank Reporting requirements and Canadian Reporting requirements
- Assisting in management of regulatory requests, audits, surveys, inquiries and reviews
- Working with Stakeholders on Supervisory Report data requirements
- Sanity checking to ensure all data captured is accurate
- Creation and updating of procedure documentation ensuring all Stakeholders are aware of their roles & responsibilities
- Regular communication updates to Stakeholders ensuring they are updated with the improvements to process
- Delivering significant process improvements and change operating processes (reduce operational risk and manual procedures)
- Test all enhancements to improve the current process
- Liaison with other groups involved with Volcker rule compliance including Legal, Compliance, Market Risk Coverage and Regulatory Risk Reporting teams

Qualifications for CIB compliance

- 3+ years of Audit/Compliance experiences
- Minimum Bachelor's degree in Accounting, Finance or related discipline

- Strong knowledge of Hong Kong regulations and key industry issues & themes
- Minimum 36 months experience working in Fund Services/Asset management/ Finance Industry
- Should have at least three years of experience in the financial industry, preferably at a large U.S. bank or broker-dealer or at the U.S. branch of a foreign bank/broker-dealer
- Should have knowledge about derivative products and have some background knowledge of the Dodd Frank Act