



## Example of CIB Compliance Job Description

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Our company is looking for a CIB compliance. We appreciate you taking the time to review the list of qualifications and to apply for the position. If you don't fill all of the qualifications, you may still be considered depending on your level of experience.

### Responsibilities for CIB compliance

- Contribute to the provision of required project reporting for CIB Management, the internal Program team, Corporate PMO and the CIB CO PMO
- Help manage and maintain a process and documentation to ensure strong controls over the identification, communication, escalation and resolution of key Risks and Issues throughout the life a project
- Foster and maintain strong relationships with key senior CIB personnel involved in the Annual Risk Assessment initiatives across the firm
- Post-Trade monitoring - Investigate investment guideline breaches with the investment manager
- Assist in managing the Volcker working group responsible for coordinating the bank's compliance efforts in the U.S. and reporting to Senior Management on a monthly basis
- Assist in developing and delivering recurring Volcker Rule training and education programs
- Assist in ensuring that potential violations of the Volcker Rule requirements are investigated in a timely manner and that appropriate corrective action is taken
- Maintain current expertise on the regulatory environment and assist in providing ongoing advisory support to the Front Offices and related areas on the application and maintenance of the Volcker Rule
- Assist in Volcker related audit and examination inquiries

## Qualifications for CIB compliance

- To align with the firm-wide regulatory program and tactically manage and execute the AML and OFAC Risk Assessment program to ensure CIB and the sub LOBs can accurately assess and attest to the levels of AML and OFAC risk
- A proven self starter with demonstrable leadership traits, the ability to manage, implement and execute and make independent decisions on behalf of the Annual Risk Assessment team autonomously
- Proven Project Management experience culminating in the successful and timely implementation of complex projects
- Ability to influence Program participants to obtain and manage deliverables across the program to achieve objectives
- At least 5 years experience in financial services, min 3 years in a Product/Business environment with strong Project management capabilities
- Ability to make decisions autonomously on behalf of the Program Team