



Example of Capital Markets Operations Job Description

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Our innovative and growing company is looking to fill the role of capital markets operations. If you are looking for an exciting place to work, please take a look at the list of qualifications below.

Responsibilities for capital markets operations

- Have a strong knowledge of various DTC products such as equities, corporate and municipal bonds and ETF'S and Repo trades
- Manage and maintain a portfolio of active loan transactions
- Support with execution and closing of loan transactions, including, but not limited to new deals
- Assists with processing trade allocations via the Fidessa Trading System with an Oasys Interface
- Provides support to Traders and Sales personnel
- Provides support to Institutional clients
- Researches and resolves basic Trade breaks
- Balances inventory positions for Trading areas
- Opens Institutional client accounts
- Be hands on, update artefacts, work closely with Operations and Tax counsels

Qualifications for capital markets operations

- Use good judgement, confidentiality and discretion in communicating with colleagues and partners
- BS/BA College Degree, FINRA Series 7 or 99 licensed, or equivalent certification in loan processing
- Past work performance with increasing levels of responsibility
- Prior experience in bank or brokerage operations with ability to show

- Typically requires a college degree plus two to three years related experience, or equivalent, and a solid understanding of the technologies required to support STRH Capital Markets
- Proven ability to perform in a fast paced environment, analytical and detail oriented