



Example of Audit VP Job Description

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Our company is growing rapidly and is hiring for an audit VP. If you are looking for an exciting place to work, please take a look at the list of qualifications below.

Responsibilities for audit VP

- Perform risk and control design assessments of a given topic across all applicable areas within the firm
- Risk assessment, control identification, coordination and execution of audit work, development of audit testing, and ongoing continuous monitoring of different businesses and support functions, especially Currency and Emerging Markets and Equities
- Partner with colleagues, stakeholders and control functions to evaluate, test and report on the adequacy and effectiveness of management controls with appropriate recommendations for improvement
- In collaboration with the General Auditor and Senior Management develops and implements a comprehensive and flexible Compliance audit plan using risk- based methodology
- Examines and evaluates the adequacy and effectiveness of the company's system of internal control and the quality of performance in carrying out assigned responsibilities
- Assesses the current compliance audit team and leads the succession planning, recruitment, and development of employees
- Researches, designs, and participates in the development and/or implementation of new or converted products, programs or systems as required to a) ensure adequate attention to risk management and internal controls and b) contribute to the Company's ongoing efforts to improve quality, increase efficiency and more effectively utilize technology
- Assists the Director and Senior Audit Managers in selected technical and administrative activities including presentations to the Audit Committee,

- Plan audits documenting activity flows of the processes to be reviewed, identifying risks and the key actual controls in place to mitigate the identified risks and leading meetings with internal stakeholders as and when required
- Professional credentials including degree-level education and a relevant professional qualification Chartered Accountant or equivalent

Qualifications for audit VP

- Bachelor's degree in Technology or Finance with strong internal or external auditing experience, (Technology background a plus)
- Graduate degree and/or professional qualifications (e.g., CIA, MIIA, ACCA, CISA, CFRS, CFTA, Series 7, 24, 63)
- Candidate should have up to 8 years of relevant experience with exposure to the banking industry
- Currently holds or is actively pursuing CPA, CIA, FRM or other relevant professional
- Technical knowledge of network devices, operating systems, databases, storage technologies, firewalls, intrusion detection/prevention systems, messaging systems, web application technologies, mobile platforms, DLP tools
- Familiarity with operational processes, tools and control best practices in managing a complex IT infrastructure environment, including systems monitoring, capacity management, resiliency, security/access controls, configuration/inventory management, backup and recovery, change management, systems development practices