



Example of Analyst, Regulatory Reporting Job Description

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Our innovative and growing company is hiring for an analyst, regulatory reporting. Thank you in advance for taking a look at the list of responsibilities and qualifications. We look forward to reviewing your resume.

Responsibilities for analyst, regulatory reporting

- Develop global partnerships across Technology, Operations, and other functions in order to help implement initiatives
- Review and analyze new business, rule changes or system changes that impact reporting processes and perform testing
- Document business requirements outlining required changes to reporting processes as a result of new business activity, new or amended regulations, system changes
- Develop and implement controls to ensure the timeliness, accuracy and completeness of reporting, and resolve any exceptions identified
- Support and respond to regulatory inquiries and exams
- Maintain a strong focus on streamlining processes by identifying and implementing automation opportunities
- Document policies and procedures as required
- Investigate, resolve exceptions & track metrics related to OATS and Electronic Blue Sheets (and others)
- Assist with coordinating the remediation of reporting anomalies (raise JIRAs, document requirements, and perform checkout)
- Assist with the production of presentations for a range of stakeholders highlighting achievements, reporting metrics, and other relevant material

Qualifications for analyst, regulatory reporting

- In-depth knowledge of front / middle / back office processes, such as trade execution, settlement, custodian funding, confirmations
- Bachelors degree in Accounting, Finance or directly related field
- Three years experience in public accounting or accounting industry with reporting, policy or financial analysis experience
- Bachelors degree in Accounting or Finance or a directly related field
- Three years experience in public accounting, accounting industry or preparing regulatory reports and financial statements is strongly preferred