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# Example of Risk & Control Manager Job Description

Our growing company is hiring for a risk & control manager. Thank you in advance for taking a look at the list of responsibilities and qualifications. We look forward to reviewing your resume.

## Responsibilities for risk & control manager

* Act as an escalation point for TS and Audit in the event of issues
* Embedding Conduct Risk and regulatory compliance obligations within product development, pricing, and annual reviews
* Implementing a review process for ensuring all procedures are accurate / up-to-date
* Identifying, implementing and ensuring all metrics / KPIs are tracked for the KYC / ONB team
* Ensuring all quality audits are performed and ensuring all follow-up actions are identified and actioned
* Ensuring all Compliance testing is performed in a timely and accurate manner
* Timely responses to decentralized teams questions & documentation requests
* Review the Operational Risk Event’s (ORE) for completeness and analyse and record ORE’s on local and head office tools when criteria is met, ensuring integrity and accuracy
* Reconciliation of ORE data to Finance GLs and head office reports
* Collect risk data and update risk reports with accurate and timely data

## Qualifications for risk & control manager

* A thorough understanding of regulatory requirements in a financial services industry is required and the candidate to be experienced with interpretation, creation, and application of Technology policy and standards
* In addition, the successful candidate should have highly effective written and oral communication skills both with senior Technology Managers and business partners
* Regulatory background a plus
* Trustee and paying agency experience working knowledge of custody operations
* Direct experience with internal control program development and testing (design operating effectiveness) to ensure appropriate focus on key risks inherent in the significant business processes
* Experience of using the full MS Office suite other MS tools such as SharePoint