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# Example of Regulatory Risk Job Description

Our innovative and growing company is looking to fill the role of regulatory risk. If you are looking for an exciting place to work, please take a look at the list of qualifications below.

## Responsibilities for regulatory risk

* Create & monitor ongoing review, assessment activities as needed Policy changes, new operating groups
* Manages horizontally across the organization the functional projects of multiple risk initiatives
* Coordinate cross-entity Risk response to regulatory exams, reviews, updates and information requests
* Ensure comprehensive and consistent Risk information, theme and messaging to all regulators for all businesses
* Manage overall Risk related communications and relations with regulators to ensure understanding of issues and points of interest
* Communicate regulatory issues across region and project best practices and resolutions to ensure regulatory issues are addressed comprehensively throughout the region
* Provide consolidated, expert information on Risk related regulatory issues to Americas CRO and senior management
* Keep abreast of relevant regulatory developments related to Risk and ensure appropriate dissemination
* Ensure consistency in response and messaging including validation with management
* Maintain insight into changing regulatory and/or agency guidance/directives

## Qualifications for regulatory risk

* Strong working knowledge of the regulations and standards of relevant industry bodies and organisations
* Advising the regional head of compliance (with business-embedded compliance) about new product/business approvals (relating to equity and fixed income securities trading businesses, funds management, lending, equipment leasing, investment banking)
* Will come from a banking or consultancy background with expertise in the following areas
* Bachelor's Degree in Risk-related field (Accounting, Business, Economics, Finance, Math/Stat, Operations Research, with Quantitative underpinning) with minimum 8 years of relevant Risk or Finance experience
* Lead the team in monitoring all qualification developments in a planned programme of activity against key criteria, ensuring that procedures and quality standards are maintained
* The ability to use a wide range of analytical tools project planning techniques