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# Example of Financial Advisor Job Description

Our growing company is searching for experienced candidates for the position of financial advisor. Please review the list of responsibilities and qualifications. While this is our ideal list, we will consider candidates that do not necessarily have all of the qualifications, but have sufficient experience and talent.

## Responsibilities for financial advisor

* Achieve performance targets by bringing clients through the advice process
* Develop client relationships with new and existing clients
* Establish and develop relationships with staff in supporting organisations
* Provide professional best advice in developing clear financial plans for new and existing clients
* Adhere to strict risk management procedures
* Identify financial requirements and develop clear financial plans for new and existing clients in relation to a range of retail products
* Ensure that the highest level of customer service is provided on a consistent basis
* Develop relationships with new and existing clients in line with targets and standards
* Ensure full compliance with all relevant company policies, procedures and legal and regulatory requirements
* Strong business development element to this role - generation of new leads/client relationships is key

## Qualifications for financial advisor

* North Carolina Life and Health
* A proven track record with a minimum of 3 years of successful investment and insurance sales experience
* State Life and Health Insurance with a clean U-5
* Solid work experience preferably 2-3 years in one position & industry experience in financial services, retail, hospitality, travel considered strong asset
* Total cumulative unit production levels of 1600 is required
* Must have the Mutual Funds accreditation (IFIC or CSC)