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# Example of Director, Compliance Job Description

Our company is growing rapidly and is searching for experienced candidates for the position of director, compliance. If you are looking for an exciting place to work, please take a look at the list of qualifications below.

## Responsibilities for director, compliance

* Conduct audits of controls
* Build regulatory relationships with regulators
* Develop new surveillance reports and systems with IT
* Engage in global testing initiatives
* Collate/update/escalate Management Information for Compliance management
* Engage with Compliance Testing colleagues in the regions to advance and embed ‘best practices'
* Receive reports of fraud and evaluate for materiality
* Prepare monthly and quarter reports on the status of Fraud investigations, review for systemic issues
* Supervise and/or conduct training requirements
* Assisting in the provision of ongoing support and thought leadership for the US Card Compliance program related to co-brand portfolios

## Qualifications for director, compliance

* Be knowledgeable about the business and corporate policies and standards that impact PO to enable and ensure compliance
* Program Management experience is an asset
* Strong, experience-based knowledge of Canadian banking and securities laws, particularly with respect to conflict management, trade and electronic communications surveillance, regulatory reporting
* Proficiency with Web-based applications, MS Office Suite (Excel, Word, PowerPoint)
* Undergraduate degree (masters preferred) in a relevant technology or related discipline
* Technology Compliance and Information Security experience with 10+ years combined Compliance, risk, security and technology experience with supporting security certifications like CISA, CRISC, CISSP, CISM