Downloaded from <https://www.velvetjobs.com/job-descriptions/corporate-investment-bank-compliance>

# Example of Corporate & Investment Bank-Compliance Job Description

Our company is growing rapidly and is looking to fill the role of corporate & investment bank-compliance. We appreciate you taking the time to review the list of qualifications and to apply for the position. If you don’t fill all of the qualifications, you may still be considered depending on your level of experience.

## Responsibilities for corporate & investment bank-compliance

* Advise the MS business about how to comply with current and new laws and regulations applicable to existing and new products and services how to address compliance risk-relevant issues and correct client impacts
* Accountable for second line oversight of controls designed to ensure compliance with relevant laws and regulations
* Manage the deliverables for the weekly, monthly and quarterly Escalation & Reporting processes for senior CIB Compliance management
* Develop a robust, end-to-end reporting framework for CIB Compliance that facilitates a transparent environment and communication channel for escalating compliance risk issues and regulatory updates
* Ensure key trends, issues and watch items are brought forward clearly and accurately from MIS into management summaries
* Engage with CIB Compliance leadership and other stakeholders to define, prioritize and implement requirements for senior management reporting, which may include both qualitative program updates and quantitative, metrics-based reporting
* Drive the implementation and execution of Compliance best practices for CIB Compliance Reporting – , procedures, governance documentation and quality assurance (QA) reviews
* Independently develop value-adding report enhancements and reporting solutions
* Participate in working groups and initiatives within Compliance and/or with other business / functional partners
* Manage ad hoc reports, projects and requests

## Qualifications for corporate & investment bank-compliance

* Sound understanding of the principles of Financial Crime Compliance, and the Bank's AML and sanctions controls
* Strong organizational, spreadsheet and database skills
* Assist the Team Lead in executing an effective program of continuous auditing for EMEA Compliance CIB
* Has flexibility regarding working hours as candidate might have to work with global teams or stakeholders
* Minimum Bachelor's degree in Accounting, Finance or related discipline and/or other relevant professional qualifications, CPA, CAMS
* Minimum 3 years relevant experience in investment bank or broker-dealer operations