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# Example of Controls & Compliance Job Description

Our company is growing rapidly and is searching for experienced candidates for the position of controls & compliance. We appreciate you taking the time to review the list of qualifications and to apply for the position. If you don’t fill all of the qualifications, you may still be considered depending on your level of experience.

## Responsibilities for controls & compliance

* Able to identify strategic areas for efficiency or improvement within existing procedures
* Utilize Oracle financial systems, ad hoc querying tools, and other financial software to provide management with required reporting and analysis requests
* Ensure comprehensive risk identification and mitigation across GBS lines of business (LOBs) and/or client operations
* Evaluate adequacy of the control environment, including effectiveness of controls across GBS LOBs and/or client operations
* Validate implementation of corrective action by management and the sustainability of controls
* Contribute to the development of a balanced risk and coverage based annual plan to address internal control priorities, opportunities and key initiatives
* Routinely engages with GBS LOB leaders and Clients execute initiatives and gain support of internal control programs, findings and commitment to corrective action to improve the control environment
* Oversee internal controls related to financial applications, including change management and segregation of duties
* Assist in software configurations based on new compliance requirements, content
* Ensure communication to end-users regarding new functionality or requirements

## Qualifications for controls & compliance

* Minimum 8 years experience in a relevant role in the Global Banking or Markets Industry
* Demonstrated understanding of the APAC Regulatory Environment
* Solid business management and project management skills and multi-tasking experience within a Global Banking and Markets organization including effective stakeholder management skills
* Proficient in Microsoft Project or other Project Planning tool, and Powerpoint
* Acute attention to detail and deadline oriented
* Strong interpersonal skills and ability to build rapport with colleagues and business partners