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# Example of Compliance Senior Manager Job Description

Our growing company is looking to fill the role of compliance senior manager. Thank you in advance for taking a look at the list of responsibilities and qualifications. We look forward to reviewing your resume.

## Responsibilities for compliance senior manager

* Support the identification and resolution of compliance escalated matters
* Risk assessment - Coordinate with Global and regional Compliance leadership and implement business risk assessment initiative and drive mitigation plans arising therefrom
* Testing & Monitoring - Coordinate with relevant functional owners to conduct regular audits of Compliance areas and support business in driving requisite improvements/resolutions for issues identified
* Business /Region compliance priorities – Execute on compliance priorities by establishing strong operating mechanisms with Digital Global and Regional compliance officers to execute on a comprehensive compliance program at the organization level
* Administer and maintain our regulated entity’s compliance program
* Advise senior leadership regarding risk management and regulatory changes
* Monitor and audit the business Platforms
* Conduct current state analysis and document future state requirements, , document and recommend solutions
* Research and resolve complex accounting issues
* To assist the Head of Compliance in developing the AML procedures and develop and administer the checking procedures to ensure compliance with local and regional standard

## Qualifications for compliance senior manager

* 5+ years experience in the Community Reinvestment field
* 2 years experience in the CRA compliance area as a bank examiner or working in an OCC-regulated large bank
* A preference for experience within Compliance, Risk, Trustee or Control framework of a Financial Institution
* Ideally will have previous experience within Compliance doing Retail Compliance Advisory
* Strong wholesale banking knowledge of products (loans, derivatives, trade finance, cash management), regulated activities, cross-border business, Mainland-related business is a definite advantage
* Good knowledge of HKMA and SFC regulatory requirements, experience in managing and communicating with regulators