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# Example of Compliance Manager Senior Job Description

Our company is growing rapidly and is looking for a compliance manager senior. Please review the list of responsibilities and qualifications. While this is our ideal list, we will consider candidates that do not necessarily have all of the qualifications, but have sufficient experience and talent.

## Responsibilities for compliance manager senior

* Apply a risk management approach to identify potential gaps/improvement opportunities that align to external trends and internal business priorities
* Ensure timely and adequate resolution of ongoing investigations by training a pool of investigators, following up during the investigation process and ensuring appropriate level of ownership of corrective actions by critical stakeholders
* Drive continuous improvement and standardization across all projects
* Participate in building and maintaining relationships with external bodies regulators, trade bodies, banks and partner organisations
* Oversees development and implementation of compliance program policies and standards addressing other required regulatory policies
* Further development and implementation of comprehensive senior management and Board compliance risk reporting, aimed at demonstrating compliance with regulatory requirements
* Present at senior management level on (developments in) compliance related risk
* Providing guidance to the senior management, staff, and employees on compliance
* Overseeing and monitoring the implementation of the compliance program
* Developing policies and programs that encourage managers and employees to report suspected breaches of compliance and other improprieties without fear of retaliation

## Qualifications for compliance manager senior

* Minimum CA or MBA (Finance) qualification with 3-4 years of experience in Internal Audit
* Champions Consumer Risk management and oversees projects involving most critical and complex laws and regulations that have a significant impact on the operations or strategy of the Company
* Manages the Governance, Program Development, and systems management team
* Oversees the CRA, Fair Banking, and Compliance Testing Technology and Software infrastructure, including vendor oversight
* Responsible for the CRA and Fair Banking Risk Assessment methodology and annual implementation
* Responsible for managing CRA and Fair Banking examinations and internal audits