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# Example of Compliance Manager Senior Job Description

Our innovative and growing company is looking for a compliance manager senior. If you are looking for an exciting place to work, please take a look at the list of qualifications below.

## Responsibilities for compliance manager senior

* Create targeted, program-based assessments using established underlying methodologies that mirror regulatory audits
* Conduct assessments on current programs with the goal of identifying weaknesses and risk
* Work with internal program managers to develop paths to green for identified weaknesses
* Regularly check-in on action item progress using an established post-assessment check-in
* Develop training methodologies and processes based on compliance requirements
* Develop regulatory based targeted trainings to address identified weakness
* Contribute to trade compliance metrics
* Reviewing client name screening and Actimize AML & MAD alerts, analyze and investigate suspicious activities / transactions reported by business and operations
* Preparing the relevant compliance reports & compliance testing pertaining to Security Services for submission to Head Office
* Liaising with regulators, external/internal auditors and co-ordinate regulatory inspection, investigation and audit assignment

## Qualifications for compliance manager senior

* Degree in Law, Finance or Business related disciplines
* Sound knowledge of insurance and pension regulatory requirements is a must
* Experience in regulatory authority or law enforcement agencies is preferred
* More experienced candidate will be considered a more senior role
* Provide pro-active Compliance support and leadership for Information Technology function in India
* A minimum of 4 years risk mitigation, compliance, full-time Ombuds, audit, or other relevant experience