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# Example of Audit Lead Job Description

Our innovative and growing company is hiring for an audit lead. If you are looking for an exciting place to work, please take a look at the list of qualifications below.

## Responsibilities for audit lead

* Has expert knowledge of internal controls, auditing methodologies and techniques, and risk assessments
* Overall responsibility for the operational management of the DDC
* Work with the senior stakeholders to develop DDC and wider ADM strategy
* Implementation of strategic development programmes for the DDC
* Monitor performance of the DDC as a whole and ensure high quality output within an appropriate time frame
* Present DDC Management Information to senior stakeholders
* Monitor performance against budget and report variances to senior stakeholders
* Assist demand generation for the DDC within the practice
* Analyse demand and set recruitment policy to meet requirements
* Process Improvement Request (PIRs), Process Audits results, process assessments as a means to gather feedback from process users

## Qualifications for audit lead

* Minimum of 7+ years of audit and/or relevant business experience, including roles as a project lead
* Comprehensive understanding of key broker-dealer and/or banking regulations and key compliance priorities relevant to the Consumer Banking and Chase Wealth Management businesses (such as Reg E, Reg DD, SEC/FINRA, Dodd-Frank, Fiduciary, and BSA)
* Strong background with a public company and knowledge of audit process/requirements, and/or extensive project-based accounting work requiring management of many concurrent deliverables from multiple external sources, with significant internal cross-functional coordination required to support short/inflexible deadlines
* Interpersonal skills to communicate effectively with all levels of management and individual contributors are required
* Demonstrated ability to prepare and conduct presentations
* Ability to provide guidance and leadership to others