

Risk & Compliance Manager Cover Letter

10071 Kuhn Curve Gloverville, DE 35245-5913

Dear Greer Hudson,

I submit this application to express my sincere interest in the risk & compliance manager position.

Previously, I was responsible for guidance to stakeholders on relevant new legislation and regulations applicable to Brewin Dolphin's business activities and detailed focus on Financial Planning and Brewin Dolphin's managed portfolio services.

Please consider my qualifications and experience:

- Knowledge of the IT technologies, operations and finance organizations
- Coordinate day-to-day operations and administering company policies and procedures through subordinate exempt and non-exempt staff
- Manages departmental financial and operational goals and objectives
- Manages the development, implementation, maintenance and enforcement of information policies and practices for specific product areas
- Manages the documentation of compliance controls to maintain compliance
- Manages audits of business unit processes to enforce compliance
- Provides compliance advice and assistance to internal personnel and affiliate bureaus to ensure the appropriate application of laws, regulations and policies in their operations
- Knowledge of PC desktop applications

I really appreciate you taking the time to review my application for the position of risk & compliance manager.

Sincerely,

Emerson O'Hara