

Risk & Compliance Manager Cover Letter

2668 West VilleDietrichborough, MA 67678-9763

Dear Lennon Stanton,

In response to your job posting for risk & compliance manager, I am including this letter and my resume for your review.

In the previous role, I was responsible for advisory services to Line of Business (LOB) and ensure Global Financial Crime Compliance (GFCC) policies and standards are incorporated into business practices.

Please consider my qualifications and experience:

- Application of regulatory compliance expertise in a consulting, financial institution or regulatory environment
- Development, implementation and/or evaluation of policies, procedures and processes to meet regulatory needs
- Supervisory experience in a financial institution
- Experience with a national or regional public accounting firm, or at a financial institution as a regulatory compliance officer or internal auditor, or with a regulatory agency
- Exceptional verbal/written communication, leadership and analytical skills needed to manage project teams, review workpapers, prepare client reports, and present results to clients in a timely manner
- Understanding of regulations and/or banking regulations (e.g., TILA, RESPA, HMDA, FCRA, FACT, fair lending, CRA, Reg
- Understanding of FINRA and SEC rules
- Knowledge of procure to pay is preferred

Thank you in advance for taking the time to read my cover letter and to review my resume.

Sincerely,

Dallas Zulauf