

Compliance Testing Cover Letter

7640 Hauck Branch East Wilhemina, AK 86014

Dear Onyx Dare,

I would like to submit my application for the compliance testing opening. Please accept this letter and the attached resume.

Previously, I was responsible for quality assurance evaluation estimates on various projects, including the ability to execute vision, goals and direction of a team/teams.

I reviewed the requirements of the job opening and I believe my candidacy is an excellent fit for this position. Some of the key requirements that I have extensive experience with include:

- Collaboration with various Compliance stakeholders to ensure the implementation of adequate and effective L2 Compliance Controls Testings for APAC that meet both BaFin and local regulatory requirements
- Developing and implementing Compliance Controls Testing programmes into an organized and risk-based work schedule to ensure effective use of the Bank's resources
- Executing L2 Compliance Controls Testings and assisting desk reviews in Hong Kong, and providing support/guidance for desk reviews and L2 Compliance Controls Testings at the non-hub offices
- Ensuring that all issues raised in Compliance Controls Testings have adequate remediation plans that are tracked and escalated promptly and appropriately
- Management responsibility for junior staff based locally
- Participating in any appropriate regional and/or global operational committees to determine strategies and priorities for Compliance
- Developing relationships with the relevant Business Management offices, Divisional Control teams and Infrastructure functions
- Sharing best practice and learnings with Compliance Controls Testing colleagues globally

Thank you in advance for taking the time to read my cover letter and to

Sincerely,

River Schmeler